



# Open Access reloaded

Elena Giglia

Ufficio Accesso aperto – editoria elettronica

Università di Torino





# Agenda





# Green and Gold





Contorni più sfumati...





# 101 INNOVATIONS IN SCHOLARLY COMMUNICATION

innovations.silk.co



Jeroen Bosman @jeroenbosman  
Utrecht University Library

## THE CHANGING RESEARCH WORKFLOW

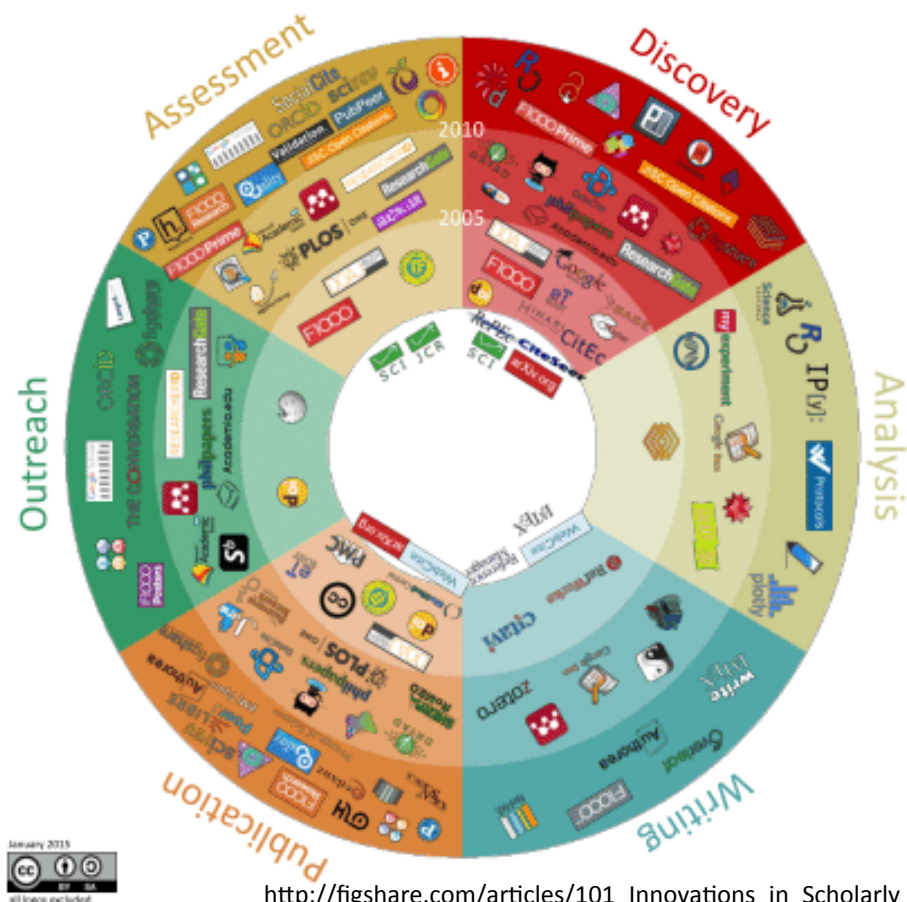


Bianca Kramer @MsPhelps  
Utrecht University Library

Science is in transition. This poster gives an impression of the exploratory phase of a project aiming to chart innovation in scholarly information and communication flows from evolutionary and network perspectives.

We intend to address the questions of what drives innovation and how these innovations change research workflows and may contribute to more **open, efficient and good science**.

### 101 Innovative tools and sites in 6 research workflow phases (< 2000 - 2015)



### Most important developments in 6 research workflow phases

	Discovery	Analysis	Writing	Publication	Outreach	Assessment
Trends	social discovery tools	data-driven & crowdsourced science	collaborative online writing	Open Access & data publication	scholarly social media	article level (alt)metrics
Expectations	growing importance of data discovery	more online analysis tools	more integration with publication & assessment tools	more use of "publish first, judge later"	use of altmetrics for monitoring outreach	more open and post-publication peer review
Uncertainties	support for full-text search and text mining	willingness to share in analysis phase	acceptance of collaborative online writing	effect of journal/publisher status	requirements of funders & institutions	who pays for costly qualitative assessment?
Opportunities	discovery based on aggregated ORCID full text	open libraries	semantic tagging while writing/journaling	reader-side paper formatting	using repositories for institutional visibility	using author, publication and affiliation IDs
Challenges	real semantic search (concepts & relations)	reproducibility	safety/privacy of online writing	globalization of publishing/access standards	making outreach a two-way discussion	quality of measuring tools
Most important long-term development	multidisciplinary + citation-enhanced databases	collaboration + data-driven	online writing platforms	Open Access	more & better connected researcher profiles	importance of societal relevance + non-publication contributions
Potentially most disruptive development	semantic concept search + contextual/social recommendations	open science	collaborative writing + integration with publishing	circumventing traditional publishers	public access to research findings, also for agenda setting	moving away from single quantitative indicators

### Typical workflow examples



[http://figshare.com/articles/101 Innovations in Scholarly Communication the Changing Research Workflow/1286826](http://figshare.com/articles/101_Innovations_in_Scholarly_Communication_the_Changing_Research_Workflow/1286826)





# 101 INNOVATIONS IN SCHOLARLY COMMUNICATION



Jeroen Bosman @jer  
Utrecht University Libra

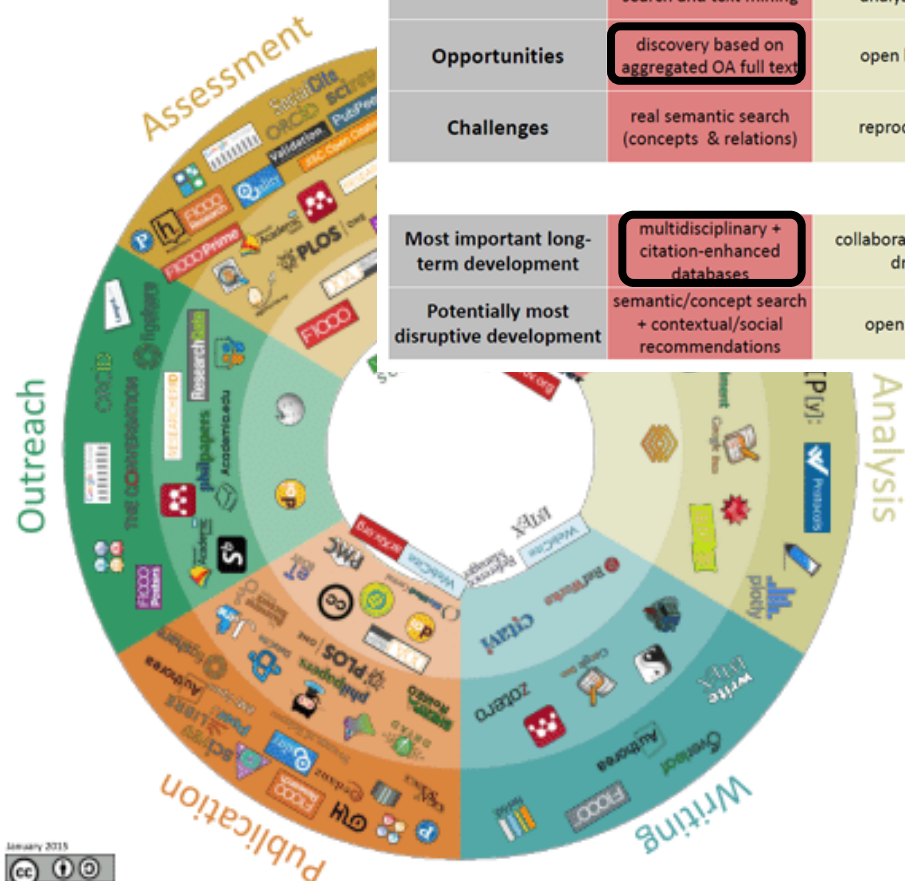
## Most important developments in 6 research workflow phases

Science is in transition. This post phase of a project aiming to change communication flows from evolution

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Challenges	real semantic search (concepts & relations)	reproducibility	safety/privacy of online writing	globalization of publishing/access standards	making outreach a two-way discussion	quality of measuring tools

Most important long-term development	multidisciplinary + citation-enhanced databases	collaboration + data-driven	online writing platforms	Open Access	more & better connected researcher profiles	importance of societal relevance + non-publication contributions
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### 101 Innovative tools and services



### Typical workflow examples







# 101 INNOVATIONS IN SCHOLARLY COMMUNICATION



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## Most important developments in 6 research workflow phases

Science is in transition. This post phase of a project aiming to change communication flows from evolution

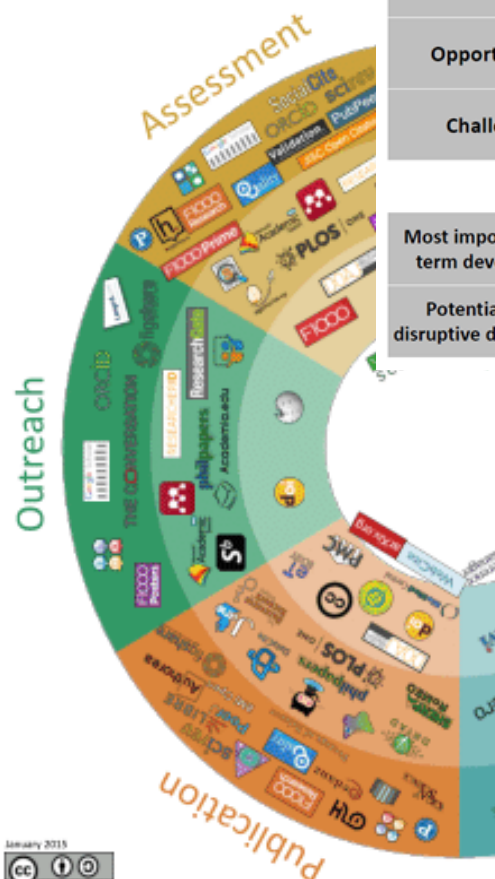
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Most important term development  
Potential disruptive development



<https://101innovations.wordpress.com/>  
Survey of scholarly communication tool usage

more & better connected researcher profiles  
public access to research findings, also for agenda setting  
importance of societal relevance + non-publication contributions  
moving away from simple quantitative indicators





# 101 INNOVATIONS IN SCHOLARLY COMMUNICATION

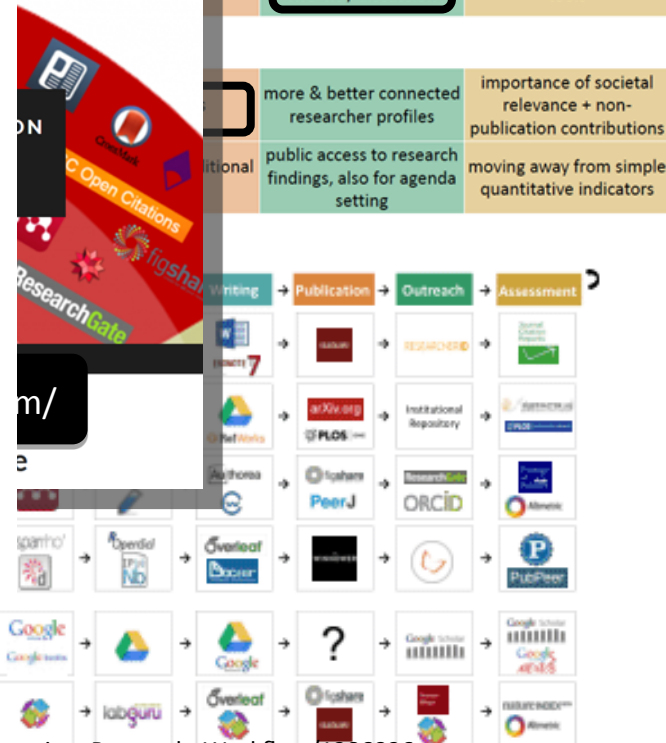
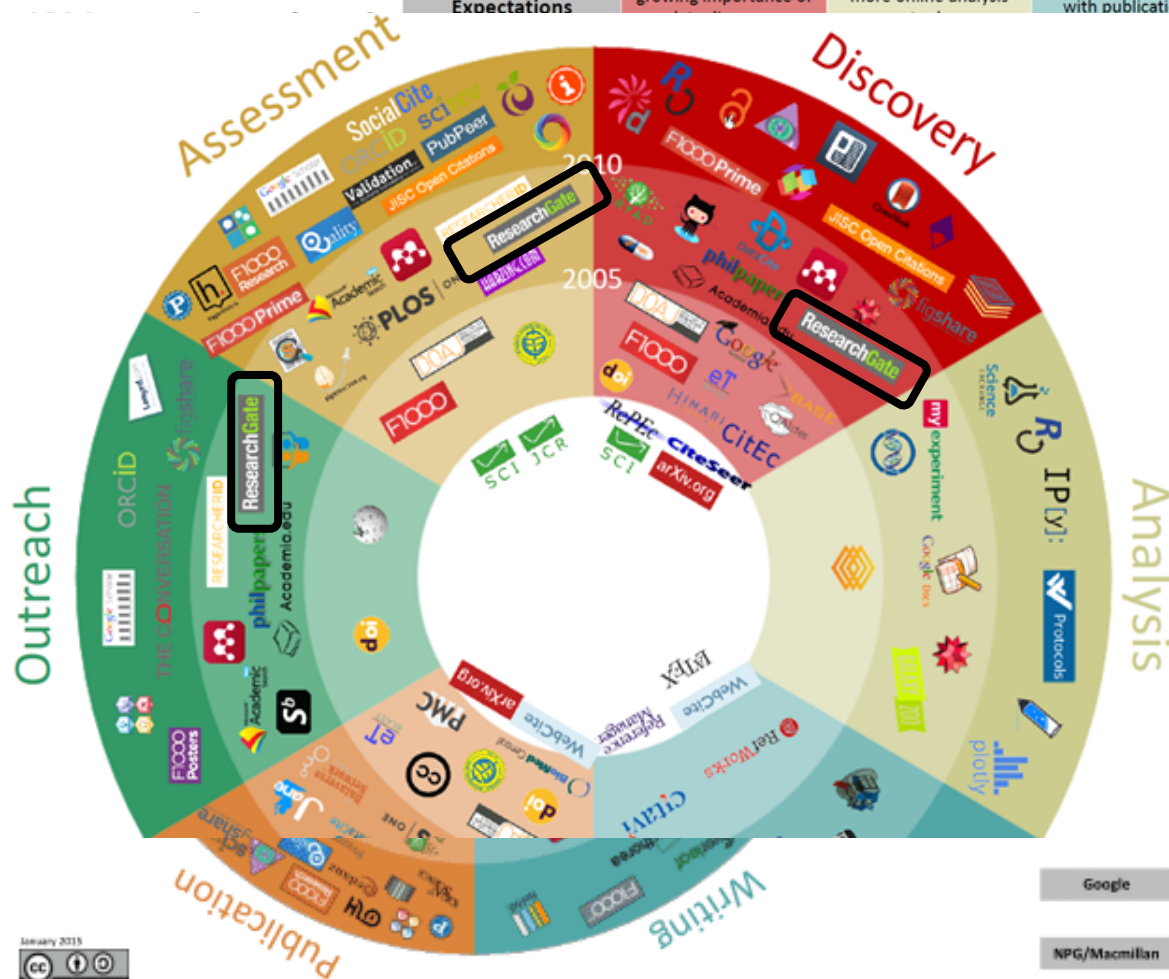


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[http://figshare.com/articles/101 Innovations in Scholarly Communication the Changing Research Workflow/1286826](http://figshare.com/articles/101_Innovations_in_Scholarly_Communication_the_Changing_Research_Workflow/1286826)



Offrire ai ricercatori i servizi che  
chiedono



Focus groups di 4 aree – Progetto ARC Regione Piemonte – dicembre 2014



# Offrire ai ricercatori i servizi che chiedono

sull'esempio di Academia.edu: profilo autore con le sue competenze

Alerting, following, gruppi come in Research Gate

Cite alert: mi avvisa quando qualcuno mi cita (Google: anche quando compaio in pagina web)

necessario che i sistemi si parlino fra loro, non replicare l'esistente né chiedere di reinserire dati presenti altrove: deve essere a costo zero per il docente già oberato di richieste: interoperabilità e integrazione fra sistemi esistenti

indice di visibilità come quello di Academia.edu (e bisognerebbe riflettere sul fatto che mio lavoro più scaricato è articolo in italiano del 1985)

"UniTo connections": luogo di vetrina e di scambio per dare accesso non tanto alla produzione scientifica che si trova anche via altri canali, ma piuttosto a 1) profili di competenze 2) linee di ricerca

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network di relazioni su Research Gate (utile vedere con chi lavori o sei in relazione); utili i contatti: ti chiamano anche da fuori UniTO e da fuori ambiente universitario (professionisti) se sono interessati al tuo ambito di ricerca



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Repositories devono  
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informazioni per  
risolvere le sfide reali  
(e non quelle  
mainstream), che  
richiedono approccio  
interdisciplinare

CHIARLE, R

Last name Chiarle  
First name R  
Country -

Publications Research Data

### The tyrosine phosphatase Shp2 interacts with NPM-ALK and regulates anaplastic lymphoma cell growth and migration.

Voena, C.; CONTE, C.; Ambrogio, C.; Boeri Erba, E.; Boccattelle, F.; MOHAMMED, S.; Jensen, O.N.; Palestro, G.; Inghirami, G.; Chiarle, R. (2007)

Anaplastic large cell lymphomas (ALCL) are mainly characterized by the reciprocal translocation t(2;5)(p23;q35) that involves the anaplastic lymphoma kinase (ALK) gene and generates the fusion protein NPM-ALK with intrinsic tyrosine kinase activity. NPM-ALK triggers several signaling cascades, leading to increased cell growth, resistance to apoptosis, and changes in morphology and migration of transformed cells. To search for new NPM-ALK interacting molecules, we developed a mass spectrometry.

### Induction of Germinal Centers by MMTV Encoded Superantigen on B Cells

W. J. Simmons; Simms, M.; Chiarle, R.; Mackay, F.; Tsiahe, V. K.; Browning, J.; Inghirami, G.; G. J. Thorbecke (2001)

expressed on B cells can induce germinal centers (GCs). An interesting example is the MMTV encoded superantigen (MSE) expressed on activated T cells. MMTV encoded superantigen (MSE) expressed on activated T cells of Mtv+ DBA/2 B cells by vSag-responsive (Vβ6+) BALB/c T cells

### High expression and prognosis in follicular lymphoma

F. Demurtas, A. Chiusa, L. Stacchini, A. Crosetto, N.; Oudenaarden, A.;

t(2;5)(p23;q35) translocation that juxtaposes the BCL2 gene to the immunoglobulin heavy chain locus, leading to constitutive expression of BCL2. Here we describe an additional mechanism that contributes to

### APP BOX


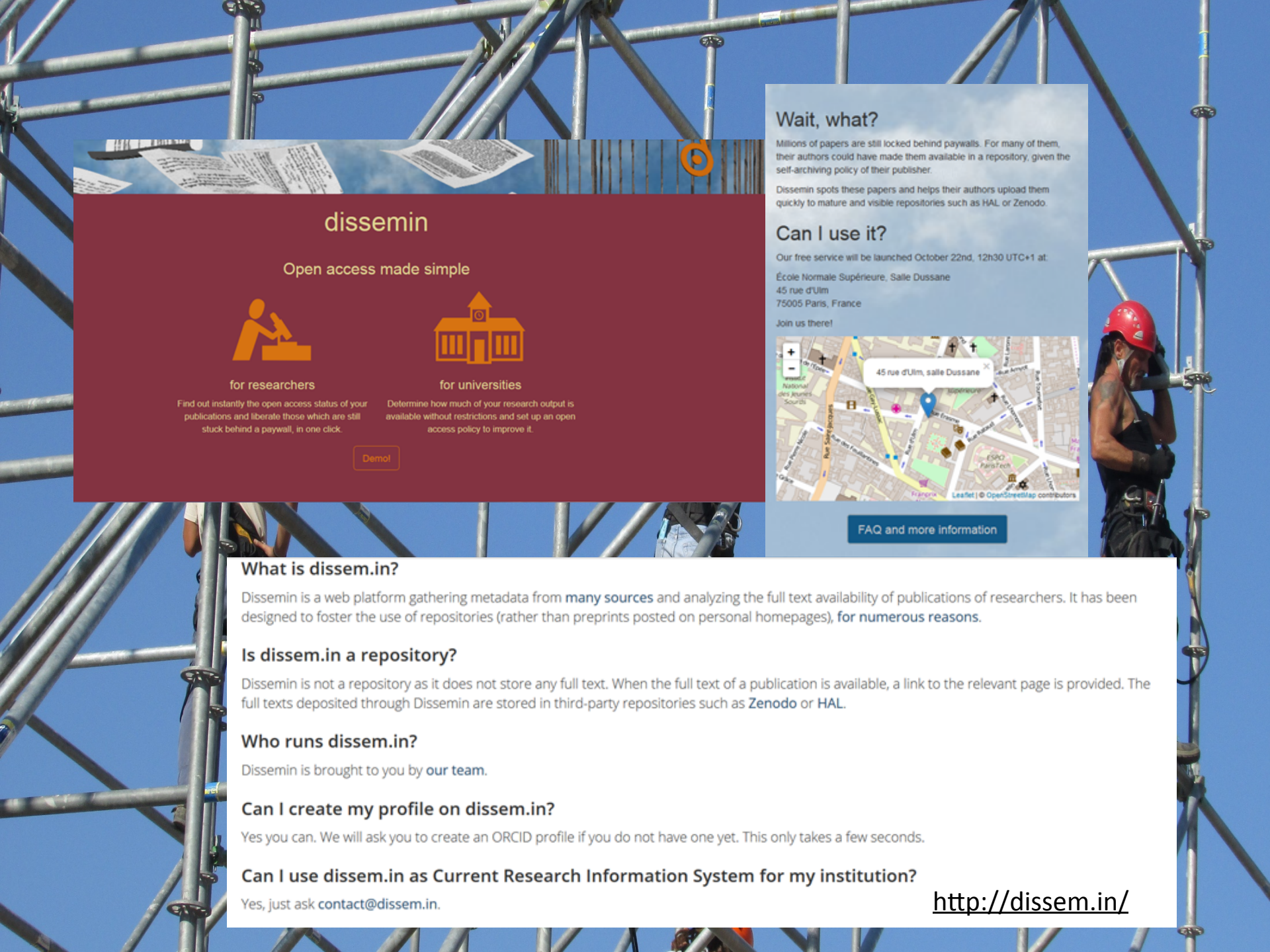
- Download projects report (CSV)
- Download publications report (CSV)

Name  
Country  
Projects

UNIVERSITA DEGLI STUDI DI TORINO  
Italy


253534), I MOVE U (312919), REUSEWASTE (289887), STABIWINE (314903), EVMALAR (242095), AXREGEN (214003), FLIP (241762), LUNELY (242965), EURO-MOTOR (259667), MRRI (312251), BEVOTIZEN (302094), UNLAWFUL PROFITS (255333), ALKXAX (324621), ENS@T-CANCER (259735), NEUROSTEMCELLREPAIR (602278), EUCAAD (200755), MTGATE (602306), BRIO (266106), STYLE (613256), BROAD (278850), RESCUEMR (261072), METAPHOT (201662), EXTREME SEAS (24175), PHARMAPHASE (288570), TRAUMAKINE (305853), NEURNOX (278611), PHOTOMT (219050), ELMT (312778), SFMET (201640), RE-PARTY (244959), RE-FUEL (265850), NANOMOF (226604), FLYHY (226943), STIFF-FLOP (287728), ALICE RAP (266813), NEPHROTOLS (298754), SOFCOM (278798), LISGENOMICS (293406), UHMSMR (298821), BABE (295854), DINAMT (612673), WILDWINE (315065), PROBIOLIVES (243471), EUROCONDOR (278040), DIVERSITY (230253), CATCH-C (289782), NANOTWINNING (294952), SHQS (256653), NGS-PTL (306242), COMPAT (339958), TACTIC (315746), MOB-HDS (226873), LSES (611620), MAPSPIN (309376), EPSEI (299327), MATEGA (608534), ECOSTORE (607040), INNOVASOL (227057), REPLAY (228773), MERCURIC (602001), NEURALSTEMIMAGING (220005), BIOHYBRID (278612), ECOFINDERS (264465), INTERNALTRAINING (215281), VALERIE (613825), REASON (202239), CANCERGENE (218071), HOLDES (329933), CHCOS (241604), T2M (609402), MICROENVIMET (201279), TESTA (311875), AMBER (324351), HGGSTOOLS (316704), EM-SAFETY (265772), SETHANOIMETRO (604577), LINKERS (287482), ENOTE (201542), ALPINEFRAGMENTATION (235141), BILOBA (318036), EDSRGP (332029), BHRAM (279965), EUCASIS (611760), FACES (609777), GERCHAD (603794), PHOTOMAT (318899), DEMETRO (278511), SHARE (316456), PAKCANESURFUP (257506), REFERTIL (289785), BOR4STORE (303428), ECNS2 (266198), COBRA (282991), CURELLING (258677), Remediate (643087), EMPHASIS (634179), FASMED (612337), MAT4TREAT (645551), ANGIOFISH (247852), EPC-TM-NET (256974), TRACKS (633275), US4GREENCHEM (604577), EUCASIS (611760), FACES (609777), GERCHAD (603794), PHOTOMAT (318899), 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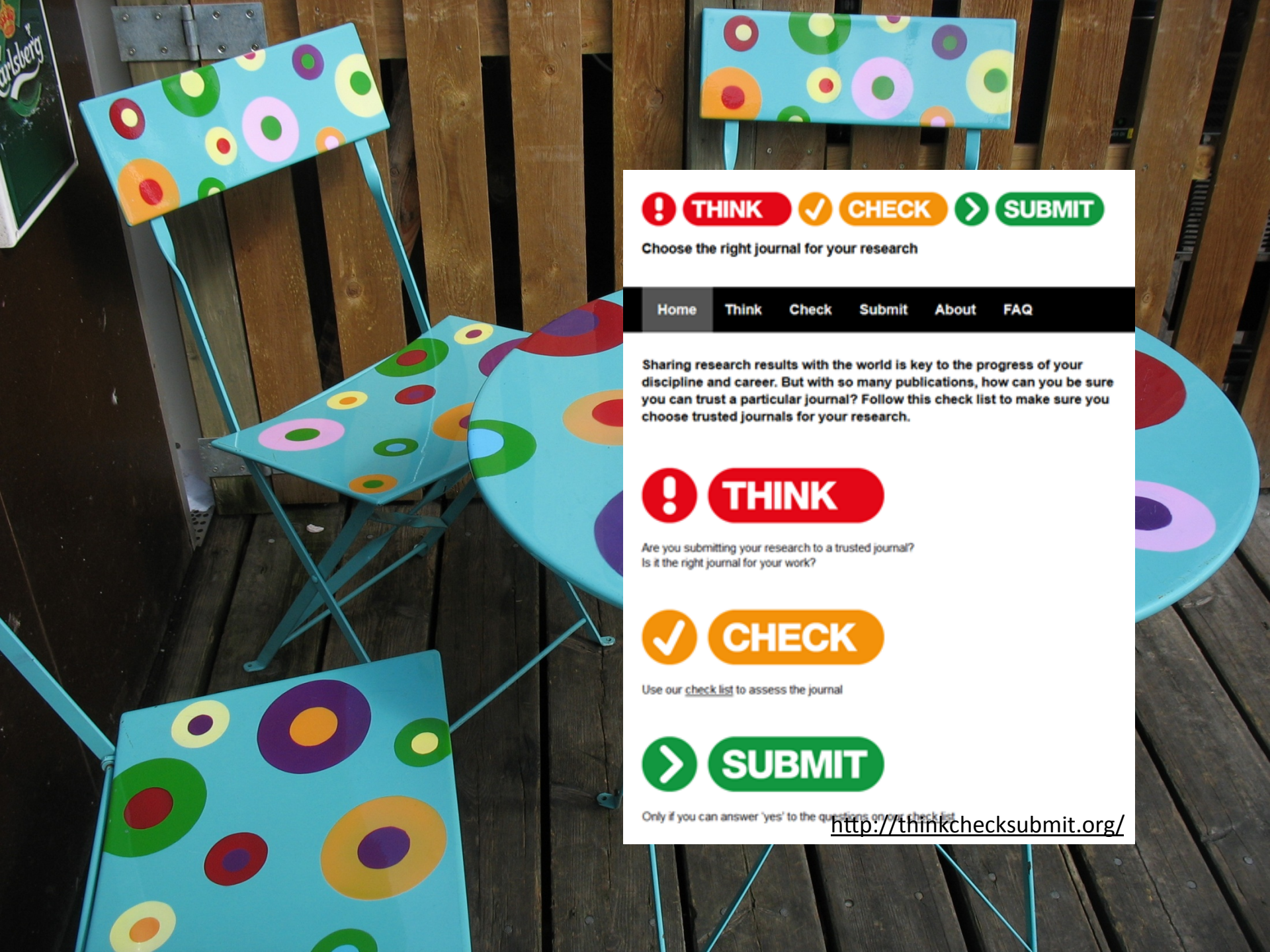
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## What is RECODE?

The Policy RECommendations for Open Access to Research Data in Europe (RECODE) project le-

## 10 Overarching Recommendations

1. Develop aligned and comprehensive policies for open access to research data.
2. Ensure appropriate funding for open access to research data.
3. Develop policies and initiatives that offer researchers rewards for open access to high quality data.
4. Identify key stakeholders and relevant networks and foster collaborative work for a sustainable ecosystem for open access to research data.
5. Plan for the long-term, sustainable curation and preservation of open access data.
6. Develop comprehensive and collaborative technical and infrastructure solutions that afford open access to and long-term preservation of high-quality research data.
7. Develop technical and scientific quality standards for research data.
8. Require the use of harmonized open licensing frameworks.
9. Systematically address legal and ethical issues arising from open access to research data.
10. Support the transition to open research data through curriculum-development and training.



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- Developing RDM Services
- Curation Lifecycle Model
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- Policy and legal
- Data Management Plans**
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- FAQ on DMPonline
- FAQ on Data Management Plans
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### Data Management Plans

Funding bodies increasingly require grant-holders to develop and implement Data Management and Sharing Plans (DMPs).

Plans typically state what data will be created and how, and outline the plans for sharing and preservation, noting what is appropriate given the nature of the data and any restrictions that may need to be applied.

The DCC has analysed UK funders' policies (see [Policy and legal](#)) and developed various data management resources in response:

- DMPonline**  
A flexible web-based tool to assist users to create personalised plans according to their context or research funder. The tool also aids researchers by providing examples of guidance and best practice via 'crowdsourced' links to DCC resources and external advice.
- Funders' data plan requirements**  
[Summary of funders' expectations for data management and sharing plans](#)

### Useful links

- Funders DMP requirements
- Checklist for a DMP

**IDCC**

The 10th International Digital Curation Conference (IDCC) took place at 30 Euston Square in London, UK, on 9 - 12 February 2015.

This year's theme was "Ten years back, ten years forward: achievements, lessons and the future for digital curation"



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OPENNESS

Science as an open enterprise  
Final report - Science as an open enterprise

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OA@unito.it  
Open Access all'Università di Torino

IN UNITO RISORSE EVENTI MATERIALE SCARICABILE

### Protetto: Open research data: creating bridges for Open Science – Open CON2015 satellite event

Bologna, November 18, 2015 – Centro Congressi CNR, via Gobetti 101  
10-17

"Empowering the next generation to advance. Open Access, Open Education and Open data" is the focus of Open CON 2015 (<http://www.opencon2015.org>).

Our open day andathon aims at authoring early career researchers as well as junior researchers

because good research needs good data

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#### Data Management Plans

Funding bodies increasingly require grant-holders to develop and implement Data Management and Sharing Plans (DMPs).

Plans typically state what data will be created and how, and outline the plans for sharing and preservation, noting what is appropriate given the nature of the data and any restrictions that may need to be applied.

The DCC has analysed UK funders' policies (see [Policy and legal](#)) and developed various data management resources in response:

#### DMPonline

A flexible web-based tool to assist users to create personalised plans according to their context or research funder. The tool also aids researchers by providing examples of guidance and best practice via 'crowdsourced' links to DCC resources and external advice.

#### Funders' data plan requirements

Summary of funders' expectations for data management and sharing plans

#### Useful links

Funders DMP requirements  
Checklist for a DMP

IDCC



The 10th International Digital Curation Conference (IDCC) took place at 30 Euston Square in London, UK, on 9 - 12 February 2015.

This year's theme was "Ten years back, ten years forward: achievements, lessons and the future for digital curation"

SAVE THE DATE:  
18 e 19 novembre, Bologna



# Zen scholarly communication





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Scholarly communication is  
distributed process of knowledge creation  
that requires a great conversation.



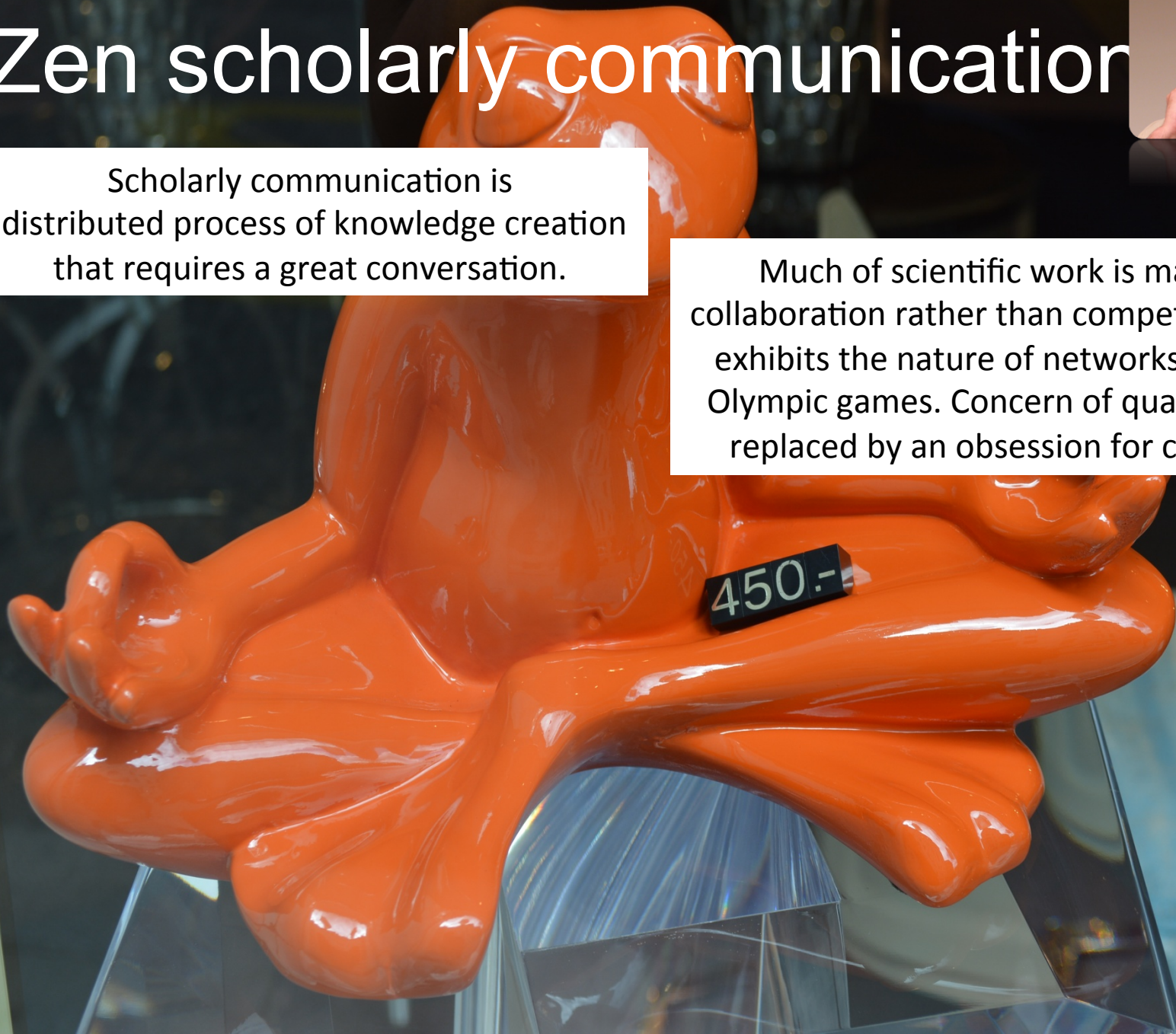


# Zen scholarly communication



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Imagine writing the history of print from the perspective of the scriptoria...

1) **What will it be like?** The question can be framed in two ways:

The first is the scriptorium way: how to adapt the present to the (yet unknown) future.

Open Access debate has followed this path.

The second way, more fundamentally, strongly foregrounds the notion of “scientific communication”: **WHAT DOES IT NEED TO WORK BEST?**

- a set of useful, credible, peers;
- “crystals” of knowledge

2) **Who will control it?**



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SKILLS AND SERVICES NEEDED FOR THE GREAT CONVERSATION  
SHOULD SERVE ITS OBJECTIVES, NOT THE REVERSE.



# Optimizing Open Access policies

**Abstract:** This overview of the current status of Open Access (OA) to peer-reviewed research describes the steps that need to be taken to achieve universal OA. OA policy initiatives by universities and funding agencies as well as adaptations by publishers have resulted in some progress toward universal OA, but a significant portion of research remains inaccessible to its would-be users because of subscription barriers. Institutions are forced to support both journal subscriptions and Gold OA author publication fees. This is not affordable or sustainable. More and stronger OA mandates will accelerate the provision of universal Green OA and an eventual transition to affordable, sustainable Gold OA, in which author fees replace institutional subscription fees to cover the remaining essential costs of journal publication. To accelerate progress, more institutions and funders need to adopt more effective OA mandates: All universities and funders should require (1) institutional deposit (2) immediately upon acceptance for publication; urge (but not require) (3) immediate OA and (4) rights-retention; (5) minimize allowable embargo length, (6) implement the copy-request Button; (7) provide rich usage and citation metrics and (8) designate repository deposit of publications as the locus for institutional performance review as well as funding applications and renewals.

Harnad, S. Optimizing Open Access policies, sett. 2015







STATEMENT - 12 October 2015

## Commissioner Moedas and Secretary of State Dekker call on scientific publishers to adapt their business models to new realities

JOINT STATEMENT of the European Commission to open access to scientific peer reviewed publications, which is a cornerstone of one of his top priorities – the policy on [Open Science](#).  
Commissioner Moedas called on scientific publishers to adapt their business models to new realities.

Speaking at a

*"Europe generates more scientific output than any other region in the world. In parallel, there is a revolution happening in the way science works. Every part of the scientific method is nowadays becoming an open, collaborative and participative process. Can publishers afford to stay out of that trend? I believe that much efforts need to be done by the main publishers to adjust their business models to the realities of the 21st century."* said Commissioner Moedas. He also pointed out that *"digital technologies inevitably have the same ground-breaking impact on scientific publishing as they have already had on the media, music, film and telecommunication industries"*.

In Horizon 2020, the EU's research and innovation programme, all costs for open access are eligible for reimbursement during the duration of the project. However, the Commissioner warned that the Commission will adapt this policy if it finds that publishers are charging excessive article processing charges for opening access to articles.

The Commission is setting up an Open Science Policy Platform to further investigate the transition to alternative business models, and plans to organise in 2016 a dedicated roundtable with all stakeholders involved in scientific open access publishing.





LERU

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## Christmas is over. Research funding should go to research, not to publishers!

12 October 2015

Nowadays, European universities pay publishers significant parts of their university budget. Hundreds of millions of euro's. Money which is not directly spent on research and education, even though it is largely taxpayers' money. As Harvard University already [denounced in 2012](#), many large journal publishers have rendered the situation "fiscally unsustainable and academically restrictive", with some journals costing as much as \$40,000 per year (and publishers drawing profits of 35% or more). If one of the wealthiest universities in the world can no longer afford it, who can? It is easy to picture the struggle of European universities with tighter budgets. In addition to subscription costs, academic research funding is also largely affected by "Article Processing Charges" (APC), which come at an additional cost of €2000/article, on average, when making individual articles Gold Open Access. Some publishers are in this way even being paid twice for the same content ("double dipping").

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### Is this how the EU envisions access to the results of academic research?

Christmas is over, says the League of European Research Universities (LERU), and calls upon the European Commission (EC) and the forthcoming Dutch EU Presidency to work with all stakeholders and bodies involved, to bring sensible solutions to the fore. In the era of Open Science, Open Access to publications is one of the cornerstones of the new research paradigm and business models must support this transition. It should be one of the principal objectives of Commissioner Carlos Moedas and the Dutch EU Presidency (January-June 2016) to ensure that this transition happens. Further developing the EU's leadership in research and innovation largely depends on it.

With this statement "Moving Forwards on Open Access", LERU calls upon all universities, research institutes, research funders and researchers [to sign this statement and give a clear signal towards the EC and the Dutch EU Presidency](#).





# Openness

In science, **OPENESS IS ESSENTIAL.**

Open science doesn't mean ignoring economic reality.

Of course **we need business models to be sustainable.**

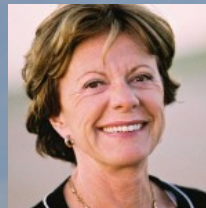
But that **doesn't mean we have to carry on doing things the way they have always been done.**

So, wherever you sit in the value chain, wheter you're a researcher or an investor or a policy maker, my message is clear:

**let's invest in collaborative tools that let us progress...**

Let's tear down the walls that keep learning sealed off.

**And let's make science open.**



N. Kroes, [Let's make science open](#), giugno 2012



I am convinced that **excellent science is the foundation of future prosperity,**  
and that **openness is the key to excellence.** [...]  
We need more open access to research results and  
the underlying data. Open  
access publication is already a requirement  
under Horizon 2020, but we now need to look  
seriously at open data[...]

**Let's dare to make Europe open to  
innovation, open to science and open  
to the world.**







...grazie

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